DARIA A. LOY-GOTO 6175 PROF WENDY J. UTSUMI 6340 LICES Regulated Industries Complaints Office Department of Commerce and Consumer A	OF COMMERCE	DEPT. CF. CF. (F.) AND CONSUME  2009 JUN 26  HEARINGS	P  : 44	
Telephone: 586-2660				******
Attorney for Department of Commerce and Consumer Affairs			700 JE 28	
DEPARTMENT OF COMM	ORS LICENSE BOA ERCE AND CONS E OF HAWAII		S	
In the Matter of the Contractor's License of	) CLB 2006-161	-L	.0	C)
LESLIE T.S. WONG, individually and doing business as WONG CONSTRUCTION,	) FILING OF PE	ETTLEMENT AGREEMENT PRIOR TO ILING OF PETITION FOR DISCIPLINARY CTION AND BOARD'S FINAL ORDER		
Respondent.	) )			
241092407				

## SETTLEMENT AGREEMENT PRIOR TO FILING OF PETITION FOR DISCIPLINARY ACTION AND BOARD'S FINAL ORDER

Petitioner, DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS'

REGULATED INDUSTRIES COMPLAINTS OFFICE (hereinafter "RICO" or "Petitioner"),
through its undersigned attorneys, and Respondent LESLIE T.S. WONG, individually and doing
business as WONG CONSTRUCTION (hereinafter "Respondent"), by and through his attorney,
enter into this Settlement Agreement on the terms and conditions set forth below.

## A. <u>UNCONTESTED FACTS</u>

1. At all relevant times herein, Respondent was licensed by the Contractors License Board (hereinafter the "Board") as a general contractor and specialty C-33 (painting and

decorating) contractor under license number BC 11908. The license was issued on or about January 26, 1983. The license will expire on or about September 30, 2010.

- 2. Respondent's mailing address for purposes of this action is c/o Lyle Hosoda, Esq., 345 Queen Street, Suite 804, Honolulu, Hawaii 96813.
- 3. RICO received a complaint alleging that Respondent's work fell below the standard of care in the industry.
  - 4. RICO alleges that Respondent failed to provide lien and bond rights.
- 5. The foregoing allegations, if proven at an administrative hearing before the Board, would constitute violations of the following statute(s) and/or rule(s): Hawaii Revised Statutes ("HRS") § 444-25.5 (disclosures).
- 6. The Board has jurisdiction over the subject matter herein and over the parties hereto.

## B. REPRESENTATIONS BY RESPONDENT:

- 1. Respondent is fully aware that Respondent has the right to be represented by an attorney and is represented by Lyle Hosoda, Esq., 345 Queen Street, Suite 804, Honolulu, Hawaii 96813.
- 2. Respondent enters into this Settlement Agreement freely, knowingly, voluntarily, and under no coercion or duress.
- 3. Respondent is aware of the right to have a hearing to adjudicate the issues in the case. Pursuant to HRS § 91-9(d), Respondent freely, knowingly, and voluntarily waives the right to a hearing and agrees to dispose of this case in accordance with the terms and conditions of this Settlement Agreement.

- 4. Respondent being at all times relevant herein licensed as a general contractor by the Board acknowledges that Respondent is subject to penalties including but not limited to, revocation, suspension or limitation of the license and administrative fines, if the foregoing allegations are proven at hearing.
- 5. Respondent does not admit to violating any law or rule, but acknowledges that RICO has sufficient cause to file a Petition for Disciplinary Action against Respondent's license.
- 6. Respondent enters into this Settlement Agreement as a compromise of the claims and to conserve on the expenses of proceeding with an administrative hearing on this matter.
- 7. Respondent agrees that this Settlement Agreement is intended to resolve the issues raised in RICO's investigation in RICO No. CLB 2006-161-L.

## C. TERMS OF SETTLEMENT:

- 1. Administrative fine. Respondent agrees to pay a fine in the amount of ONE THOUSAND FIVE HUNDRED AND NO/100 DOLLARS (\$1,500.00). Payment shall be made by cashier's check or money order made payable to "DCCA Compliance Resolution Fund" and mailed to the Regulated Industries Complaints Office, Attn: Wendy Utsumi, Esq., 235 S. Beretania Street, 9<sup>th</sup> Floor, Honolulu, Hawaii 96813. Payment of the fine shall be due at the time this fully executed Settlement Agreement is returned to RICO.
- 2. <u>Failure to Comply with Settlement Agreement</u>. If Respondent fails to fully and timely comply with the terms of this Settlement Agreement as set forth in paragraph(s) C.1 above, Respondent's license shall be automatically revoked upon RICO's filing of an affidavit with the Board attesting to such failure. In case of such revocation, Respondent shall turn in all indicia of the license to the Executive Officer of the Board within ten (10) days after receipt of

notice of the revocation. In case of such revocation, Respondent understands Respondent cannot apply for a new license until the expiration of at least five (5) years after the effective date of the revocation. Respondent understands that if Respondent desires to become licensed again, Respondent must apply to the Board for a new license pursuant to and subject to HRS §§ 92-17, 436B-21, and all other applicable laws and rules in effect at the time.

- 3. <u>Possible further sanction</u>. The Board, at its discretion, may pursue additional disciplinary action as provided by law to include further fines and other sanctions as the Board may deem appropriate if Respondent violates any provision of the statutes or rules governing the conduct of licensed contractors in the State of Hawaii, or if Respondent fails to abide by the terms of this Settlement Agreement.
- 4. <u>Approval of the Board</u>. Respondent agrees that, except for the representations, agreements and covenants contained in Paragraphs C.5, C.6, C.7 and C.8 below, this Settlement Agreement shall not be binding on any of the parties unless and until it is approved by the Board.
- 5. No Objection if Board Fails to Approve. If the Board does not approve this

  Settlement Agreement, does not issue an order pursuant thereto, or does not approve a lesser remedy, but instead an administrative hearing is conducted against Respondent in the Board's usual and customary fashion pursuant to the Administrative Procedure Act, Respondent agrees that neither Respondent nor any attorney that Respondent may retain, will raise as an objection in any administrative proceeding or in any judicial action, to the Board's proceeding against Respondent on the basis that the Board has become disqualified to consider the case because of its review and consideration of this Settlement Agreement.

- 6. <u>Any Ambiguities Shall be Construed to Protect the Consuming Public</u>. It is agreed that any ambiguity in this Settlement Agreement is to be read in the manner that most completely protects the interests of the consuming public.
- 7. No Reliance on Representations by RICO. Other than the matters specifically stated in this Settlement Agreement, neither RICO nor anyone acting on its behalf has made any representation of fact, opinion or promise to Respondent to induce entry into this Settlement Agreement, and Respondent is not relying upon any statement, representation or opinion or promise made by RICO or any of its agents, employees, representatives or attorneys concerning the nature, extent or duration of exposure to legal liability arising from the subject matter of this Settlement Agreement or concerning any other matter.
- 8. <u>Complete Agreement</u>. This Settlement Agreement is a complete settlement of the rights, responsibilities and liabilities of the parties hereto with respect to the subject matter hereof; contains the entire agreement of the parties; and may only be modified, changed or amended by written instrument duly executed by all parties hereto.

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IN WITNESS WHEREOF, the parties have signed this Settlement Agreement on the date(s) set forth below.

DATED: HONOLULU, HOUAII, TAPE 24, 2009.

(CITY)

STATE

LESLIE T.S. WONG

Respondent

DATED: Honolulu, Hawaii, \_\_\_\_\_ JUN 2 6 2009

WENDY J. UTŠUMI

Attorneys for Department of Commerce and

Consumer Affairs

APPROVED AS TO FORM:

LYLE HOSODA

Attorney for Respondent

IN THE MATTER OF THE CONTRACTOR'S LICENSE OF LESLIE T.S. WONG, INDIVIDUALLY AND DOING BUSINESS AS WONG CONSTRUCTION; SETTLEMENT AGREEMENT PRIOR TO FILING OF PETITION FOR DISCIPLINARY ACTION AND BOARD'S FINAL ORDER; CASE NO. CLB 2006-161-L

APPROVED AND SO ORDERED: CONTRACTORS LICENSE BOARD STATE OF HAWAII

PVL 07/03/08

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TADY T. ARISUMI ALDON K. MOCHIDA	DATE
Chairperson	
F. M. SCOTTY ANDERSON	GUY M. AKASAKI
Vice Chairperson	1
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NEAL ARITA	WILLIAM R. BROWN JOHN E. K. DILL
ERIC CARSON	Joseph S. KINDRICH/II HAROLD MARTIN
	MONTH INC. ESC. WILLIAM A MARIA
RANDALL B. C. LAU	AUDREY E. J. NG, ESQ. WILLI AM A. KAMAI
RONALD K. OSHIRO	DENNY R, SADOWSKI
DARYL SUEHIRO	GERALD YAMADA

COUNTY OF Hofocuce	) ) SS. )			
On this 2x day of June Land	, 2002, before me personally appeared			
to me known to be the person described and who executed the foregoing instrument and acknowledged the same as his/her free act and deed.				
	An-			
NOTARY PUBLIC CERTIFICATION Richard W Hosoda Doc. Description	Name Russe a. Hosain Notary Public - State of Haceter			
No of Pages 3 Date of Doc 4 24, 200	My commission expires: 4-9-2012			
Notary Signature Date				
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